



Dear Supplier:

In order to comply with the conflict minerals rule (Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010), Ford is required to file an annual Specialized Disclosure report with the U.S. Securities and Exchange Commission (SEC). The report includes a description of the measures taken to exercise due diligence on the source and chain of custody of the tin, tungsten, tantalum and gold (3TG or "conflict minerals") in our products.

To support this effort, your company must complete a Conflict Minerals Reporting Template (CMRT) for calendar year 2020, including a list of the smelters or refiners (SORs) providing the 3TG contained in the products your company sells to Ford Motor Company. To complete this requirement, you may need to request information from relevant lower tier suppliers. For reference see Ford's [Conflict Mineral Policy](#)

Action Due by Sept 30, 2020

- Submit the completed CMRT in Excel format only to Ford using one of the methods below:
 - a. **Submit CMRT via email to** cmineral@ford.com by replying to this email
 - Include subject line: **Company name CMRT submission GSDB: GSDB code** (GSDB code is found in the subject line of this communication)
 - On the CMRT, in the "Company Unique ID" field (row 12) include the same GSDB code
 - b. **Submit via iPoint Conflict Minerals Platform (iPCMP)**
 - If your company is using iPCMP, REPLY to this email and provide your account number
 - Basic License is FREE <http://conflict-minerals.com/>

In-Scope Parts List

To assist with your conflict minerals reporting, attached is a list of parts identified through your company's International Material Data System (IMDS) submissions as containing 3TG. These parts are in-scope for conflict minerals reporting.

2020 Conflict Minerals Reporting Requirements

- Submit only latest CMRT version 6.xx by Sept 30, 2020, available [here](#)
- Include GSDB in "Company Unique ID"
- Answer "Yes" to Question 1 for all in-scope metals (identified on your parts list/IMDS) or provide explanatory comment e.g. "naturally occurring". A CMRT submission that does not include these details will be assessed as incomplete and resubmission will be required
- Complete the Smelter List tab, identify (by CID) the smelters or refiners (SORs) used to process the in-scope metals identified in your parts list

- Ford requires its suppliers to source 3TG minerals from SORs that have been validated as conformant by a 3rd party audit such as the RMI's [Responsible Minerals Assurance Process](#) (RMAP)
- Ford will notify you if you report any SORs not listed as “conformant” or “active” on the public [RMI Smelter Refiner list](#). We expect you to also notify your suppliers if a reported SOR isn't on the RMI “conformant” or “active” lists, and to notify your suppliers to source from 3rd party validated SORs throughout your supply chain
- Additionally, we expect your company and your relevant suppliers to contact SORs (not listed on “conformant” or “active” RMI lists) directly to encourage participation in a 3rd party validated audit such as the RMAP, LBMA, or RJC protocol. If suppliers or SORs refuse, we expect you to consider alternate sourcing of 3TG

Sanctions Compliance

Please note that your company must comply with all applicable Ford Production Purchasing Global Terms and Conditions including all Web-Guides. As stated in the Export Controls and Sanctions Compliance Web-Guide,

Supplier represents that it shall not provide any Supplies to Ford that it procured from, or manufactured using the services, technology or financing of, any individual or entity located in a country or region that is subject to a comprehensive trade embargo, currently including Crimea, Cuba, Iran, North Korea, and Syria, or from any other country in violation of applicable law.

Also,

Supplier shall not provide any Supplies to Ford procured from, or manufactured using the services, technology or financing of, any individual or entity designated on a Prohibited Parties List.

Sanctions Compliance Due Diligence Requirements

Based on your contractual obligations in the Export Controls and Sanctions Compliance Web Guide, please ensure the following:

- Confirm no products provided to Ford contain material from any sanctioned party (including JSC Ekaterinburg CID000927) that may be in your supply chain, and conduct appropriate due diligence on listed SOR if information becomes known that connects a SOR to a sanctioned party
- Once confirmed, ensure no sanctioned party is included in smelter list before submitting Conflict Minerals Reporting Template (CMRT)
- If any of the above expectations are not met with a “Company” level Report, you will be required to re-submit “Product, or “User” Defined level report for the parts on the attached Ford parts list in order to confirm if a sanctioned party is or is not in Ford's supply chain. This is a commercial requirement and you are expected to meet all

Conflict Mineral Reporting Deadlines. Ford may reassess supplier relationships if suppliers fail to comply with these minimum requirements

For additional direction on completing your CMRT, please visit the “Responsible Material Sourcing including Conflict Minerals” section of the Ford Supplier Portal (<https://fsp.portal.covisint.com/web/portal/home>) or send an email to cmineral@ford.com with your GSDB code in the subject line.

Thank you for your continued efforts.

Sincerely,

Ford Conflict Minerals Compliance Team
cmineral@ford.com